# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# **SCHEDULE 13G**

Under the Securities Exchange Act of 1934 (Amendment No. 1)\*

<u>Applied Optoelectronics, Inc.</u> (Name of Issuer)

Common Stock, \$0.001 Par Value Per Share (Title of Class of Securities)

<u>03823U102</u> (CUSIP Number)

 $\frac{December\ 31,2015}{(Date\ of\ Event\ Which\ Requires\ Filing\ of\ this\ Statement)}$ 

	☐ Rule 13d-1(b)					
	☑ Rule 13d-1(c)					
	☐ Rule 13d-1(d)					
any su The in	bsequent amendment cor	taining ir	be filled out for a reporting person's initial filing on this form water of this cover page shall not be deemed to be "filed" for the purpliabilities of that section of the Act but shall be subject to all other	cover page.  pose of Section 18 of the Securities Exchange Act of		
(1)	Names of Reporting	Persons.		Whale Rock Capital Management LLC		
(2)	Check the Appropria	ate Box if	a Member of a Group (See Instructions)	(a) □ (b) □		
(3)	SEC Use Only					
(4)	Citizenship or Place of Organization DELAWAR					
	NUMBER OF	(5)	Sole Voting Power	1,002,684		
	SHARES BENEFICIALLY	(6)	Shared Voting Power	0		
	OWNED BY EACH REPORTING PERSON WITH	(7)	Sole Dispositive Power	1,002,684		
		(8)	Shared Dispositive Power	0		
(9)	Aggregate Amoun	t Benefici	ally Owned by Each Reporting Person	1,002,684		
(10)	Check if the Aggre	gate Amo	ount in Row (9) Excludes Certain Shares (See Instructions)			
(11)	Percent of Class R	epresente	d by Amount in Row (9)	5.96%		
(12)	Type of Reporting	Person (S	ee Instructions)	00		
			2			
(1)	Names of Reporting	Names of Reporting Persons.  Alexander Sacerdote				
(2)	Check the Appropria	Check the Appropriate Box if a Member of a Group (See Instructions)				
(3)	SEC Use Only					

(4)	Citizenship	or Place of Organiz	ration UNIT	ED STATES			
N	UMBER (	OF (5)	Sole Voting Power	0			
	SHARES ENEFICIAL		Shared Voting Power	1,002,684			
R	NED BY E REPORTIN	G (7)	Sole Dispositive Power	0			
PE	ERSON WI	(8)	Shared Dispositive Power	1,002,684			
(9)	Aggregat	e Amount Beneficia	lly Owned by Each Reporting Person	1,002,684			
(10)	Check if	the Aggregate Amou	unt in Row (9) Excludes Certain Shares (See Instructions)				
(11)	Percent o	f Class Represented	by Amount in Row (9)	5.96%			
(12)	Type of F	Reporting Person (Se	ee Instructions)	IN			
			3				
Item 1(a).	Name of l	ssuer:					
	Applied C	ptoeletronics, Inc.					
Item 1(b).	Address	of Issuer's Principa	l Executive Offices:				
	No. 29 Sh Beijing 10	r, Jing Yan Building ijingshan Road, Shij 0043 epublic of China					
Item 2(a).	Names of	Persons Filing:					
	Alexander	Sacerdote ("Alex S	nent LLC ("Whale Rock") acerdote") s of each reporting person is: Two International Place, 24th Floor, Boston, MA 02110.				
Item 2(c).	Citizenship:						
	Reference	is made to Item 4 o	f pages 2-3 of this Schedule 13G (this "Schedule"), which Items are incorporated by reference herein.				
Item 2(d). Title of Class of Securities:							
	American	Despositary Receipt	t, each representing two Class A ordinary shares, par value \$0.01 per share				
Item 2(e).	CUSIP N	ımber:					
	03823U102						
Item 3.	If this stat	ement is filed purs	uant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:				
	□ (a)	Broker or dealer re	gistered under section 15 of the Act (15 U.S.C. 78o).				
	□ (b)	Bank as defined in	section 3(a)(6) of the Act (15 U.S.C. 78c).				
	□ (c)	Insurance company	as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).				
	□ (d)	Investment compar	ny registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).				
	□ (e)	An investment adv	iser in accordance with §240.13d-1(b)(1)(ii)(E);				
	□ (f)	An employee benef	it plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);				
	□ (g)	A parent holding co	ompany or control person in accordance with § 240.13d-1(b)(1)(ii)(G);				
			4				

		(h)	A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);							
		(i)	A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);							
		(j)	Group, in accordance with §240.13d-1(b)(1)(ii)(J).							
Item 4.	Ownership.									
	Reference is hereby made to Items 5-9 and 11 of pages 2 - 3 of this Schedule, which Items are incorporated by reference herein.									
Sacerdote partnershi	l partn , as m ps for	er an nanag the	ities to which this Schedule relates (the "Securities") are owned by certain investment limited partnerships for which Whale Rock serves d investment manager. Whale Rock, as those investment limited partnerships' general partner and investment manager, and Alexander ing member and owner of Whale Rock, may therefore be deemed to beneficially own Securities owned by such investment limited burposes of Rule 13d-3 of the Securities Exchange Act of 1934, as amended (the "Act"), insofar as they may be deemed to have the oting or disposition of those Securities.							
	her pu	ırpose	ne filing of this Schedule nor any of its contents shall be deemed to constitute an admission that Whale Rock or Alexander Sacerdote is, e, the beneficial owner of any of the Securities, and each of Whale Rock and Alexander Sacerdote disclaims beneficial ownership as to to the extent of his or its pecuniary interests therein.							
share the	and me power that	ember to di	e definition of "beneficial ownership" in Rule 13d-3 under the Act, it is also possible that the individual general partners, executive is of the foregoing entities might be deemed the "beneficial owners" of some or all of the Securities insofar as they may be deemed to rect the voting or disposition of the Securities. Neither the filing of this Schedule nor any of its contents shall be deemed to constitute an of such individuals is, for any purpose, the beneficial owner of any of the Securities, and such beneficial ownership is expressly							
	ange (	Comm	lation of percentage of beneficial ownership in item 11 of pages 2 - 3 was derived from the Issuer's Form 10-Q filed with the Securities ission on December 21, 2015, in which the Issuer stated that the shares of Common Stock, par value \$0.001 per share outstanding as of as 16,835,013.							
Item 5.	Ownership of Five Percent or Less of a Class									
five perce			tement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than ass of securities, check the following [ ].							
Item 6.	Owr	nersh	p of More than Five Percent on Behalf of Another Person.							
	direct	the re	ock serves as general partner and investment manager to certain investment limited partnerships that have the right to receive or the receipt of dividends from, or the proceeds from the sale of, the Securities. Other than as reported in this Schedule, no investment limited is exceed five percent of the Issuer's common stock.							
Item 7.	Iden	Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company								
	Not	Appl	cable.							
			5							
Item 8.	Identification and Classification of Members of the Group									
	Not	Appl	cable.							
Item 9.	Noti	Notice of Dissolution of Group								
	Not	Appl	icable.							

# Item

### Item

#### Certification Item 10.

By signing below the undersigned certify that, to the best of their knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

## Signature

After reasonable inquiry and to the best of my knowledge and belief, the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: February 1, 2016

By: <u>/s/ Alexander Sacerdote</u> Alexander Sacerdote Managing Member