SEC Form 4	
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL								
OMB Number:	3235-028							

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	Estimated average burde	en	
	hours per response:		0.5

Lin Chewei	s of Reporting Perso		2. Issuer Name and Ticker or Trading Symbol <u>APPLIED OPTOELECTRONICS, INC.</u> [ AAOI ]		tionship of Reporting Perso : all applicable) Director Officer (give title below)	on(s) to Issuer 10% Owner Other (specify below)	
C/O APPLIED C	(Last) (First) (Middle) C/O APPLIED OPTOELECTRONICS, INC. 13139 JESS PIRTLE BLVD.		3. Date of Earliest Transaction (Month/Day/Year) 05/31/2019		Delow)	blowy	
(Street) SUGAR LAND TX 77478 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	rting Person	

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11341.4)
Common Stock, \$.001 par value	05/31/2019		Α		12,806(1)	Α	\$ <mark>0</mark>	59,936	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Nu of Deriv Secu Acqu (A) or Dispo of (D) (Instr and 5	Expiration Date (Month/Day/Year) Amount of rities irred r osed ) 3, 4 Amount of Securities Underlying Derivative Security (Instr. 3 and 4) Amount of Security Underlying Derivative Security (Instr. 3 and 4) Amount of Security Underlying Derivative Security Security (Instr. 3 and 4) Amount of Security (Instr. 3 and 4)		Expiration Date (Month/Day/Year)		Expiration Date		Expiration Date Amount of (Month/Day/Year) Securities Underlying Derivative Security (Instr.		Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

#### Explanation of Responses:

1. These shares are granted in the form of Restricted Stock Units (RSUs) under the Company's 2019 Board Compensation Plan. The grant vests as to 1/12th of the total number of shares on the same day every month starting on June 30, 2019, until all shares have vested.

<u>/s/ David C. Kuo, attorney in</u>	06/04/2019
fact for Che-Wei Lin	00/04/2015

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.