## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## **SCHEDULE 13G**

Under the Securities Exchange Act of 1934 (Amendment No. 1)\*

> <u>Applied Optoelectronics, Inc.</u> (Name of Issuer)

<u>Common Stock, \$0.001 Par Value Per Share</u> (Title of Class of Securities)

> <u>03823U102</u> (CUSIP Number)

 $\underline{\text{May 19, 2017}} \\ \text{(Date of Event Which Requires Filing of this Statement)}$ 

	∐ Rule 13d-1(b)					
[	⊠ Rule 13d-1(c)					
[	□ Rule 13d-1(d)					
			be filled out for a reporting person's initial filing on this form wit aformation which would alter the disclosures provided in a prior co			
			er of this cover page shall not be deemed to be "filed" for the purpo liabilities of that section of the Act but shall be subject to all other			
(1)	Names of Reporting	Persons.		Whale Rock Capital Management LLC		
(2)	Check the Appropria	ite Box if	a Member of a Group (See Instructions)	(a) □ (b) □		
(3)	SEC Use Only					
(4)	Citizenship or Place	Citizenship or Place of Organization DELAWARI				
	NUMBER OF	(5)	Sole Voting Power	0		
	SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	(6)	Shared Voting Power	0		
		(7)	Sole Dispositive Power	0		
		(8)	Shared Dispositive Power	0		
(9)	Aggregate Amount	Benefic	ally Owned by Each Reporting Person	0		
(10)	Check if the Aggre	gate Amo	ount in Row (9) Excludes Certain Shares (See Instructions)			
(11)	Percent of Class Ro	epresente	d by Amount in Row (9)	0%		
(12)	Type of Reporting	Person (S	See Instructions)	00		
			2			
(1)	Names of Reporting	Names of Reporting Persons.  Alexander Sacerdote				
(2)	Check the Appropria	te Box if	a Member of a Group (See Instructions)	(a) ☐ (b) ☐		
(3)	SEC Use Only					

(4)	Citizenship	or Place of Organ	ization UNIT	ED STATES				
N	NUMBER (	OF <u>(5)</u>	Sole Voting Power	0				
	SHARES ENEFICIAL		Shared Voting Power	0				
I	NED BY E REPORTIN	G (7)	Sole Dispositive Power	0				
	ERSON WI	(8)	Shared Dispositive Power	0				
(9)	Aggregat	e Amount Benefic	ially Owned by Each Reporting Person	0				
(10)	Check if	the Aggregate Am	ount in Row (9) Excludes Certain Shares (See Instructions)					
(11)	Percent o	f Class Represente	d by Amount in Row (9)	0%				
(12)	Type of I	Reporting Person (S	Gee Instructions)	IN				
			3					
Item 1(a).	Name of 1	Issuer:						
	Applied Optoelectronics, Inc.							
Item 1(b).	Address	of Issuer's Princip	al Executive Offices:					
	Applied Optoelectronics, Inc. 13115 Jess Pirtle Blvd. Sugar Land, TX 77478 United States							
Item 2(a).	. Names of Persons Filing:							
	Whale Rock Capital Management LLC ("Whale Rock") Alexander Sacerdote ("Alex Sacerdote") The principal business address of each reporting person is: Two International Place, 24th Floor, Boston, MA 02110.							
Item 2(c).	Citizensh	Citizenship:						
	Reference	is made to Item 4	of pages 2–3 of this Schedule 13G (this "Schedule"), which Items are incorporated by reference herein	ı.				
Item 2(d).	Title of Class of Securities:							
	Common Stock, \$0.001 Par Value Per Share							
Item 2(e).	CUSIP N	CUSIP Number:						
	03823U10							
Item 3.	_		suant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:					
			egistered under section 15 of the Act (15 U.S.C. 78o).					
			n section 3(a)(6) of the Act (15 U.S.C. 78c).					
	☐ (c)	Insurance compar	ay as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).					
	☐ (d)		any registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).					
	(e)	An investment ad	viser in accordance with §240.13d-1(b)(1)(ii)(E);					
	□ (f)	An employee bene	efit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);					
	□ (g)	A parent holding	company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);					
			Λ					

		(h)	A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);						
		(i)	A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);						
		(j)	Group, in accordance with §240.13d-1(b)(1)(ii)(J).						
Item 4.	Ownership.								
	Not	appli	cable.						
Item 5.	Ownership of Five Percent or Less of a Class								
five perce			tement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than ass of securities, check the following $[X]$ .						
Item 6.	Own	ersh	ip of More than Five Percent on Behalf of Another Person.						
	Not	appli	cable.						
Item 7.	Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company								
	Not Applicable.								
			5						
Item 8.	Iden	tifica	ntion and Classification of Members of the Group						
	Not	Appl	icable.						
Item 9.	Notice of Dissolution of Group								
	Not	Appl	icable.						
Item 10.	Cei	rtifica	ation						
	ordir	nary (	ng below the undersigned certify that, to the best of their knowledge and belief, the securities referred to above were acquired and are course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control or rities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.						
			Signature						

After reasonable inquiry and to the best of my knowledge and belief, the undersigned certifies that the information set forth in this statement is true, complete and correct.

WHALE ROCK CAPITAL MANAGEMENT LLC Dated: May 23, 2017

> By: <u>/s/ Alexander Sacerdote</u> Alexander Sacerdote Managing Member