UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 144 Filer Information

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

#### 144: Filer Information

Filer CIK 0001587462
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? • LIVE • TEST

**Submission Contact Information** 

Name

Phone

E-Mail Address

### 144: Issuer Information

Name of Issuer Applied Optoelectronics, Inc.

SEC File Number 001-36083

13139 Jess Pirtle Blvd.

Address of Issuer

Sugar Land
TEXAS

77478

Phone 281-295-1800

Name of Person for Whose Account the Securities are To Be Sold Hung-Lun (Fred) Chang

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer SVP and North America GM

## **144: Securities Information**

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value			Name the Securities Exchange
Common	Raymond James 880 Carillon Parkway St. Petersburg FL 33716	13637	192049.45	33281562	08/10/2023	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

### 144: Securities To Be Sold

Title of the	Date you	Nature of	Name of	Is	Date	Amount of	Date of	Nature of
Class	Acquired	Acquisition	<b>Person from</b>	this	Donor	Securities	Payment	Payment *
		Transaction			Acquired	Acquired		

		Whom Acquired	a Gift?		
Common	01/23/2023 Restricted Stock Unit Vesting	Issuer		11350	01/23/2023 Services
Common	04/24/2023 Restricted Stock Unit Vesting	Issuer		2287	04/24/2023 Services

<sup>\*</sup> If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

## 144: Securities Sold During The Past 3 Months

	Name and Address of Seller		Title of Securities Sold	Date of Sale	Securities Sold	Gross Proceeds
	Hung-Lun (Fred) Chang 13139 Jess Pirtle Blvd.	AAOI		08/08/2023	32346	390260.83
;	Sugar Land TX 77478					

# 144: Remarks and Signature

Remarks

Date of Notice 08/10/2023

**ATTENTION:** 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/ Hung-Lun (Fred) Chang

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)