FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APP	ROVAL
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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

							. ,							_								
1. Name and Address of Reporting Person*  Chang Hung-Lun (Fred)						2. Issuer Name <b>and</b> Ticker or Trading Symbol APPLIED OPTOELECTRONICS, INC. [									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
<u>Chang</u>	nung-L	<u>III (FTEU)</u>													Director				10% C	wner		
					AA	AAOI]										Officer (give title below)			Other (specify below)			
(Last) (First) (Middle)						3. Date of Earliest Transaction (Month/Day/Year)									*** See Remarks							
C/O APPLIED OPTOELECTRONICS, INC.						08/07/2017																
13139 JE	SS PIRTI	E BLVD.				13.3																
					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable							
(Street)						T. II / III of the control of the co										Line)						
	LAND T	v	77478												X Form filed by One Reporting Person							
,———	LAND	. <b>A</b>	//4/0												Form filed by More than One Reporting Person							
(City)	(	State)	(Zip)			Feisur																
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																					
1. Title of S	Security (In	str. 3)		2. Transa	action		2A. Deem	ned	3.		4. Securit	ties Ac	auired	(A) or	or 5. Amount of			6. Owne	rship	7. Nature		
2. 11	county (iii	Jul. 0)		Date		Execution Date,			, Transaction Disposed Of				Of (D) (Instr. 3, 4			Securi	ties	Form: D	orm: Direct	of Indirect		
(Month/							if any (Month/Day/Year		Code (Instr. 5)					Bene		cially I Following		(D) or Indirect (I) (Instr. 4)	Beneficial Ownership			
							(		′ <del>  ''</del>		<del></del>		T.,,		$\dashv$	Reported Transaction(s)		(,,	(,,(,,	(Instr. 4)		
										V	Amount		(A) or (D) Pri				3 and 4)					
Common Stock, \$.001 par value 08/07/						'/2017					1,023(	D D		\$64	64.6 46,323		6,323	D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																					
	(e.g., puts, calls, warrants, options, convertible securities)																					
1. Title of 2. 3. Transaction 3A. Deemed 4.						5. Number 6. Date Exercisable and 7. Title and									8. Pri	ce of	9. Number o	f 10.		11. Nature		
Derivative	Conversio	n Date	Execution	n Date,	Transa		n of		Expiration Date			Amo		Derivative Security		derivative	Own	ership	of Indirect			
Security (Instr. 3)	or Exercise Price of	(Month/Day/Yea	r) if any (Month/D		Code (Ins Year) 8)		str. Derivative Securities						Securities Underlying			rity : 5)	Securities Beneficially	Forn	n: ct (D)	Beneficial Ownership		
) Derivative					-,	,		Acquired		Derivat					(		Owned	or In	direct	(Instr. 4)		
Security							(A) or Disposed		Security (Instr. and 4)				str. 3	3		Following Reported	(1) (1)	(I) (Instr. 4)				
							of (D)		""				-,				Transaction		(s)			
							(Instr. 3, 4 and 5)										(Instr. 4)					
										Amount		ount	1									
									or													
					Date Expiration			Numbe		mber												
			Code	Code V (A) (D)				Exercisable Date		Title Shares		ares										

## **Explanation of Responses:**

1. Reflects shares surrendered to the Issuer to satisfy tax-withholding obligations upon the vesting of restricted stock award granted on February 4, 2016.

## Remarks

\*\*\* Senior Vice President of Optical Component Business Unit

/s/ David C. Kuo, attorney in

fact for Hung-Lun (Fred) 08/08/2017

**Chang** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.