FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

l	OMB APPRO	VAL
	OMB Number:	3235-0287
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l	hours per response:	0.5

	Check this box if no longer subject to
)	Section 16. Form 4 or Form 5 obligations may continue. See
	obligations may continue. See
	Instruction 1(h)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

						_		. ,				-											
1. Name and Address of Reporting Person*							2. Issuer Name and Ticker or Trading Symbol APPLIED OPTOELECTRONICS, INC. [5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
<u>Yeh Shu-Hua (Joshua)</u>							, , ,										Director			10% C)wner		
							AAOI]										Officer (give title below)			Other (specify below)			
(Last) (First) (Middle)							3. Date of Earliest Transaction (Month/Day/Year)										*** See Remarks						
C/O APPLIED OPTOELECTRONICS, INC.								11/05/2019															
13139 JESS PIRTLE BLVD.																							
10100 VEGO I INTEL DEV D.							4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable						
(Ctt)						·	4. II / incliditions, bate of original Filed (Month Bay) Teal)										Line)						
(Street)	T 4 NID	- TO 3.7	_															X Form filed by One Reporting Person					
SUGAR	LAND	TX	7	7478													Forn	n filed by Mo	re thai	n One Ren	orting		
-														Form filed by More than One Reporting Person									
(City)		(Sta	te) (2	Zip)																			
			Tabl	e I - Nor	n-Deriv	ative	Se	curitie	s Acc	quired,	Dis	posed o	of, o	r Ben	efici	ally	Owne	ed					
1. Title of Security (Instr. 3) 2. Transac														I (A) o	or 5. Amount of 6. Ownership 7. Na					7. Nature			
1. Title of S	ecurity (i	nsu.	. 3)		Date		Execution Da			e, Transactio						and Securi Benefi		ties	Form	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership		
					(Month/I	Day/Yea		if any (Month/Day/Year)		Code (Instr. 5)								cially I Following					
								(Worldin Dayr Tear)		, 3)					_	Repor		ted	(') (''	(1) (111501. 4)	(Instr. 4)		
											v	Amount		(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)						
Common Stock, \$.001 par value 11/05/3								2019		F		702(1))	D \$		10.6 98,39		8,391		D			
			1																				
			Та	ble II - D) Derivati	ive S	есι	ırities	Acqui	ired, D	ispo	sed of,	or E	3enefi	iciall	y Ov	vned						
				(e.g., pı	uts, c	alls	s, warr	ants,	option	s, co	onvertib	ole s	ecuri	ties)								
1. Title of	2.	П	3. Transaction	3A. Deeme	ed le	4.		5. Nu	mber	6. Date E	xercis	able and	7. T	itle and		8. Pr	rice of	9. Number o	of 1	LO.	11. Nature		
Derivative	Conversi	on	Date	Execution	Date,	Transaction Code (Inst		n of		Expiratio	n Date	•	Amount of			Derivative Security (Instr. 5)		derivative	c	Ownership Form: Direct (D)	of Indirect		
Security (Instr. 3)	or Exerci Price of	se	(Month/Day/Year)	if any (Month/Day					Derivative (ay/Ye	ar)		Securities Underlying				Securities Beneficially			Beneficial Ownership		
(Derivative \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			,,,,,,,,,,	٠,		Acquired			Derivative					` ′		Owned	0	or Indirect (I) (Instr. 4)	(Instr. 4)			
Security								(A) or Disposed						ıstr. 3			Following Reported	(
							of (D)					and 4)						Transaction	(s)				
								(Instr. 3, 4 and 5)										(Instr. 4)					
			<u> </u>			1 1 1		Т			-	1.		-									
												Am or	ount										
										Expiration		Nui	Number										
				Code	l _v	(A)			Date Exercisable D		Title Share		ares										
							<u> </u>	16.9	ı ·- /				1								<u> </u>		

Explanation of Responses:

1. Reflects shares surrendered to the Issuer to satisfy tax-withholding obligations upon the vesting of restricted stock award granted on February 4, 2016.

Remarks:

*** Senior Vice President and Asia General Manager

/s/ David C. Kuo, attorney in fact for Shu-Hua (Joshua) Yeh

11/07/2019

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.