FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

l	OMB APPROVAL										
	OMB Number:	3235-0287									

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Check this box if no longer subject to
\neg	Section 16. Form 4 or Form 5
$_{-}$	obligations may continue. See
	Instruction 1(b).

												<u> </u>											
1. Name and Address of Reporting Person* Chang Hung-Lun (Fred)							2. Issuer Name and Ticker or Trading Symbol APPLIED OPTOELECTRONICS, INC.									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
<u>Chang</u>	<u>Hung-1</u>	<u>⊿un</u>	<u>(Fred)</u>													Director				10% C	wner		
						- LAA	AAOI]									X Offic below		er (give title v)		Other (specify below)			
(Last)		(Firs	St) (1	Middle)		3. D	3. Date of Earliest Transaction (Month/Day/Year)									*** See Remarks							
C/O APP	LIED O	PTC	DELECTRONIC	CS, INC.			11/05/2019											566	11011101	110			
13139 JE	SS DIRT	TE	RIVD																				
13133 31	100 111(1		DLVD.			4 15	4. If Amondment, Date of Original Filed (Month/Day/Veer)										6 Individual or Joint/Croup Filing (Chook Applicable						
						- 4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street)																X	Eorn	n filed by One	o Donorti	ina Borc	on		
SUGAR	LAND	TX	. 7	7478												Λ		•		•			
						-											Form filed by More than One Reporting Person						
(City)		(Sta	ite) (2	Zip)																			
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																						
1. Title of S	Security (I	nstr	. 3)		2. Trans	saction	ction 2A. Deemed			3.	3. 4. Securities Acquired (A)			(A) or	or 5. Amount of		ount of	6. Ownership		7. Nature			
	(,		Date			Execution Date,					Disposed Of (D) (Instr. 3, 4							Form: Direct	of Indirect		
					(Month/			if any (Month/Day/Year)		Code (Instr. 5)		5)								(D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
										′ •,	9,					Repor		ted	(1) (111511	., ((Instr. 4)		
										Code	Code V			(A) or Pric				ction(s) 3 and 4)					
														(5)			(IIISti.	3 and 4)					
Common Stock, \$.001 par value 11/05/							5/2019					466(1)	D \$1		10.6		3,187	Γ)			
			Ta	ble II - D	erivat	tive S	ecu	ırities	Acau	ired. D	ispo	sed of,	or B	enefi	ciall	v Ov	vned						
												onvertib				,							
1. Title of Derivative	2. Convers		3. Transaction Date (Month/Day/Year)	3A. Deeme Execution		4. Transactio				6. Date Exercisable and Expiration Date			7. Title and Amount of			8. Price of Derivative Security		9. Number of derivative		Ownership Form:	11. Nature of Indirect Beneficial		
Security	or Exerci	se		if any	,		Code (Instr.		str. Derivative ((Month/Day/Year)			Securities				Securities					
(Instr. 3)	Price of Derivativ	۱ ۾		(Month/Da	y/Year)	Year) 8)		Securities Acquired						Underlying Derivative		(Instr. 5)		Beneficially Owned		Direct (D) or Indirect	Ownership (Instr. 4)		
Security						(A) or						Security (Instr.		3		Following		(I) (Instr. 4)	(
					Disposed				and 4)							Reported	(a)						
				l		of (D) (Instr. 3, 4					l					Transaction (Instr. 4)	(5)						
						and 5)										,							
								T			Δm	ount											
											or					- 1							
							Date	- 1.	Expiration		Nur	nber											
					Code	v	(A)	(D)	Exercisa		Date	Title		res									

Explanation of Responses:

1. Reflects shares surrendered to the Issuer to satisfy tax-withholding obligations upon the vesting of restricted stock award granted on February 4, 2016.

Remarks:

*** Senior Vice President and North America General Manager

/s/ David C. Kuo, attorney in

fact for Hung-Lun (Fred)

11/07/2019

Date

Chang

** Signature of Reporting Person

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly \ or \ indirectly.$

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.