FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average h	nurden								

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Last) (First) (Middle) C/O APPLIED OPTOELECTRONICS, INC. 13139 JESS PIRTLE BLVD.  4. If Amendment, Date of Original Filed (Month/Day/Year)  (Street) SUGAR LAND TX 77478  (City) (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Month/Day/Year)  1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year) (Month/Day/Year)  (Month/Day/Year)  1. Title of Security (Instr. 3)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Security (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Security (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Security (Month/Day/Year)  3. Transaction (Month/Day/Year) (Month/Day/Year)  4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and Bisported Transaction(B) (D) (Instr. 4) (D) Frice of Derivative Securities (Month/Day/Year) (D) Securities (Month/Da	1. Name and Address of Reporting Person* <u>Lin Chih-Hsiang (Thompson)</u>						2. Issuer Name and Ticker or Trading Symbol APPLIED OPTOELECTRONICS, INC. [ AAOI ]									Check X	all app			10% C	Owner	
City   Sugar Land   Tx   Tx   Tx   Tx   Tx   Tx   Tx   T	C/O APPLIED OPTOELECTRONICS, INC.															X	,					
1. Title of Security (Instr. 3)    2. Transaction Date (Month/Day/Year)   3. Transaction (Dode (Instr. 3, 4 and 5)   4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)   5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)   7. National Securities Date (Instr. 3 and 4)   7. National Date (Instr. 3 and 4)   7. National Date (Instr. 3 and 4)   7. National Date (Instr. 3)   7. National Date (Instr. 4)   7.	SUGAR LAND TX 77478					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									ne)	Form	Form filed by One Reporting Person Form filed by More than One Reporting				
Date (Month/Day/Year)   Code (Instr. 3)   Disposed Of (D) (Instr. 3, 4 and 5)   Disposed Of (D) (Instr. 3, 4 and 4)   Disposed Of (D) (Instr. 4)   Disposed Of (D) (Inst			Tal	le I - No	n-Deriva	ative	Se	curitie	s Acc	uired,	Dis	posed o	f, oı	r Bene	eficia	ally (	Owne	ed				
Common Stock, \$.001 par value  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Security (Instr. 3)  Conversion or Exercise Price of Derivative Security  Securi	Date					Execution Date			Transaction Code (Inst		Disposed Of (D) (Instr. 3,			4 and S B		Securities Beneficially Owned Following		rect lirect	7. Nature of Indirect Beneficial Ownership			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Security (Instr. 3) Price of Derivative Security (Instr. 3, 4 and 5)  1. Title of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  1. Title of Derivative Securities Securities Securities Securities Securities Securities Securities Security (Instr. 3) Ownership Form: Direct (D) or Indirect (I) (Instr. 4)  1. Title of Derivative Securities Securities Securities Securities Securities Securities Securities Security (Instr. 3) Ownership Form: Direct (D) or Indirect (I) (Instr. 4)  1. Namount of Securities Securities Securities Securities Securities Security (Instr. 3) Ownership Form: Direct (D) or Indirect (I) (Instr. 4)  1. Namount of Securities Securities Securities Securities Securities Securities Security (Instr. 4)  1. Namount of Securities Securities Securities Securities Securities Securities Securities Securities Security (Instr. 5)  2. Amount of Securities Securitie										Code	v	Amount		(A) or (D)	Price		Transaction(s)				(111511.4)	
(e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Security (Instr. 3)  Price of Derivative Security Securities Securities Security Securities Securities Security Securities Securities Security Security Securities Security Secur	Common Stock, \$.001 par value 11/05/					2019			F		2,770	1)	D	\$10	).6	706,695		D				
Derivative Security (Instr. 3) Price of Derivative Security Security    Conversion or Exercise Price of Derivative Security Security   Date (Month/Day/Year)   Date (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   Date (Month/Day/Year)   Expiration Date (Month/Day/Year)   Expiration Date (Month/Day/Year)   Derivative Securities   Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   Ownership Form: Direct (D) over Indirect (D) or Indirect (D) or Indirect (D) over Indirect (D) ov			٦													y Ov	vned					
	Derivative Security	Conversion or Exercise Price of Derivative	Date	Execution if any	Date,	Transa Code (I		n of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		Expiration Date (Month/Day/Yea		e ar)	Amount of Securities Underlying Derivative Security (Instr. and 4)		ount	Deriv Secu	ivative urity	derivative Securities Beneficially Owned Following Reported Transaction	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	: t (D) direct	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## Explanation of Responses:

1. Reflects shares surrendered to the Issuer to satisfy tax-withholding obligations upon the vesting of restricted stock award granted on February 4, 2016.

/s/ David C. Kuo, attorney in

fact for Chih-Hsiang

(Thompson) Lin

11/07/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.