

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

**FORM 8-K
CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 22, 2023

Applied Optoelectronics, Inc.
(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of
incorporation)

001-36083
(Commission File Number)

76-0533927
(IRS Employer Identification
No.)

13139 Jess Pirtle Blvd.
Sugar Land, Texas
(Address of principal executive offices)

77478
(Zip Code)

(281) 295-1800
(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Trading Name of each exchange on which registered
Common Stock, Par value \$0.001	AAOI	NASDAQ Global Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

ITEM 7.01. REGULATION FD DISCLOSURE.

On May 22, 2023 Applied Optoelectronics, Inc. (the “Company”) issued a press release announcing the appointment of Todd McCrum to the newly created role of senior vice president and general manager of Broadband Access.

The information furnished in this Current Report under this Item 7.01 and the exhibits attached hereto shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the “Exchange Act”), or incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

ITEM 9.01. FINANCIAL STATEMENTS AND EXHIBITS.

(d) Exhibits

<u>Exhibit Number</u>	<u>Description</u>
99.1	Press release dated May 22, 2023, issued by Applied Optoelectronics, Inc.
104	Cover Page Interactive File (the cover page tags are embedded within the Inline XBRL document).

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

APPLIED OPTOELECTRONICS, INC.

Date: May 24, 2023

By: /s/ David C. Kuo

Name: David C. Kuo

Title: General Counsel and Secretary



AOI Appoints Todd McCrum to Newly Created Role of Senior Vice President and General Manager of Broadband Access

McCrum previously served 27 years with Scientific-Atlanta and Cisco Systems, including serving as lead of Cisco's Cable Access Business Unit and Vice President of Marketing



SUGAR LAND, TX, May 22, 2023 – Applied Optoelectronics, Inc. (Nasdaq: AAOI), a leading provider of fiber-optic access network products for the cable broadband, internet datacenter, telecom and fiber-to-the-home (FTTH) markets, today announced the appointment of Todd McCrum as senior vice president and general manager of Broadband Access.

In this newly created position, McCrum will lead sales, product development, and marketing of AOI's Quantum Bandwidth line of broadband access products.

"Todd's career in broadband has spanned the development of modern hybrid fiber-coax networks," commented Dr. Stefan Murry, AOI's Chief Financial Officer and Chief Strategy Officer. "During his time at Cisco, he oversaw the development of some of the most innovative and successful products in the history of the CATV industry. As AOI continues to build its Quantum Bandwidth line of CATV products, we created this new role to ensure dedicated leadership for this very important line of business for AOI. I believe that the combination of Todd's superb leadership and strategic vision with AOI's world-class engineering and manufacturing capabilities will deliver even more impressive products for our customers for years to come."

Before joining AOI, McCrum spent 27 years in increasingly senior roles in product marketing and business development for Scientific-Atlanta, continuing after its acquisition by Cisco Systems in 2009. Beginning in 2015 he served as lead of Cisco's Cable Access Business Unit, where he was responsible for product strategy and execution for all of Cisco's cable television products. Taking on a wider role within Cisco, he transitioned to roles in business development and strategy for all of Cisco's service provider network customers. McCrum then served as Cisco's Vice President of Marketing for two years before leaving Cisco earlier this year.

“AOI has a sterling reputation for engineering excellence, product quality, and integrity,” said McCrum. “As the company expands its line of Quantum Bandwidth products, I couldn’t be more excited to lead the team, many of whom I’ve known and respected for many years. As cable MSOs embark on a revolutionary expansion of their networks to offer multi-gigabit internet speeds, we expect Quantum Bandwidth products to provide the optimum combination of features, quality, price and availability, allowing our customers to upgrade their networks on time and on budget.”

About Applied Optoelectronics

Applied Optoelectronics Inc. (AOI) is a leading developer and manufacturer of advanced optical products, including components, modules and equipment. AOI's products are the building blocks for broadband fiber access networks around the world, where they are used in the CATV broadband, internet datacenter, telecom and FTTH markets. AOI supplies optical networking lasers, components and equipment to tier-1 customers in all four of these markets. In addition to its corporate headquarters, wafer fab and advanced engineering and production facilities in Sugar Land, TX, AOI has engineering and manufacturing facilities in Taipei, Taiwan and Ningbo, China. For additional information, visit www.ao-inc.com.

Media Inquiries:
Willis Chen
+1-281-295-1807
wchen@ao-inc.com