

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response:	0.5

1. Name and Address of Reporting Person* <u>Li Chung-Yao (Ford)</u>			2. Issuer Name and Ticker or Trading Symbol <u>APPLIED OPTOELECTRONICS, INC.</u> [AAOI]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title below) <input checked="" type="checkbox"/> Other (specify below) <u>Former Sr. VP, Asia Gen. Mgr</u>		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <u>04/16/2015</u>			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person		
C/O APPLIED OPTOELECTRONICS, INC. 13115 JESS PIRTLE BLVD.			4. If Amendment, Date of Original Filed (Month/Day/Year)					
(Street) SUGAR LAND TX 77478								
(City) (State) (Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, \$.001 par value	04/16/2015		M		3,833	A	\$7.5	3,833	D	
Common Stock, \$.001 par value	04/16/2015		M		833	A	\$6	4,666	D	
Common Stock, \$.001 par value	04/16/2015		M		5,834	A	\$6	10,500	D	
Common Stock, \$.001 par value	04/16/2015		M		1,250	A	\$9.96	11,750	D	
Common Stock, \$.001 par value	04/17/2015		M		1,000	A	\$13.84	12,750	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Non-Qualified Stock Option(Right to Buy)	\$7.5	04/16/2015		M		3,833		(1)	05/08/2015	Common Stock, \$.001 par value	3,833	\$0	0	D	
Non-Qualified Stock Option(Right to Buy)	\$6	04/16/2015		M		833		(2)	05/08/2015	Common Stock, \$.001 par value	833	\$0	0	D	
Non-Qualified Stock Option(Right to Buy)	\$6	04/16/2015		M		5,834		(3)	05/08/2015	Common Stock, \$.001 par value	5,834	\$0	0	D	
Non-Qualified Stock Option(Right to Buy)	\$9.96	04/16/2015		M		1,250		(4)	05/08/2015	Common Stock, \$.001 par value	1,250	\$0	0	D	
Non-Qualified Stock Option(Right to Buy)	\$13.84	04/17/2015		M		1,000		(5)	05/08/2015	Common Stock, \$.001 par value	1,000	\$0	0	D	

Explanation of Responses:

- The stock option grant vested as to 1/4th of the total number of shares on January 18, 2013 and thereafter vested and shall continue to vest as to 1/6th of the remainder of the shares in equal semi-annual installments until all shares have vested.
- The stock option grant vested as to 1/4th of the total number of shares on May 22, 2012 and thereafter vested and shall continue to vest as to 1/6th of the remainder of the shares in equal semi-annual installments until all shares have vested.
- The stock option grant vests as to 1/4th of the total number of shares on August 12, 2011 and thereafter vests and shall continue to vest as to 1/6th of the remainder of the shares in equal semi-annual installments until all shares have vested.
- The stock option grant vests as to 1/4th of the total number of shares on September 26, 2013 and thereafter vests and shall continue to vest as to 1/6th of the remainder of the shares in equal semi-annual installments until all shares have vested.

installments until all shares have vested.

5. The stock option grant vests as to 1/4th of the total number of shares on January 28, 2014 and thereafter vests and shall continue to vest as to 1/6th of the remainder of the shares in equal semi-annual installments until all shares have vested.

/s/ David C. Kuo for Chung-
Yao (Ford) Li

04/20/2015

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.