FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* <u>Chang Hung-Lun (Fred)</u>						2. Issuer Name and Ticker or Trading Symbol APPLIED OPTOELECTRONICS, INC. [AAOI]								Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify below))				
(Last) (First) (Middle) C/O APPLIED OPTOELECTRONICS, INC. 13115 JESS PIRTLE BLVD.					3. Date of Earliest Transaction (Month/Day/Year) 08/01/2014									*** See Remarks below				
Street) SUGAR LAND TX 77478				_ 4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								Line)	Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)																		
			e I - Non-Deriv						Dis					1				
Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transa Code (3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			Secur Benef	ficially ed Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount	(<i>A</i>	A) or D) F	Price	Trans	action(s) 3 and 4)		(1130.4)	
Common Stock, \$0.001 par value				08/01/2014				S		100(1)		D S	\$18.15		5,532	D		
Common Stock, \$0.001 par value				08/01/2014				S		100(1)		D S	\$18.15		5,432	D		
Common Stock, \$0.001 par value				08/01/2014				S		100(1)		D s	\$18.15		5,332	D		
Common Stock, \$0.001 par value				08/01/2014				S		100(1)		D S	\$18.15		5,232	D		
Common Stock, \$0.001 par value				08/01/2014				S		100(1)		D S	\$18.04		5,132	D		
Common Stock, \$0.001 par value				08/01/2014						100(1)		D S	\$18.04		5,032	D		
Common Stock, \$0.001 par value				08/01/2014				S		100(1)		D S	\$18.04		4,932	D		
Common Stock, \$0.001 par value				08/01/2014				S		200(1)		D s	\$17.91		4,732	D		
Common Stock, \$0.001 par value				08/01/2014				S		100(1)		D S	\$17.85		4,632	D		
Common Stock, \$0.001 par value				08/01/2014						100(1)		D S	\$17.85		4,532	D		
Common Stock, \$0.001 par value				08/01/2014						100(1)		D S	\$17.85		4,432	D		
Common Stock, \$0.001 par value				1/2014				S		100(1)		D	\$18.1		4,332	D		
Common Stock, \$0.001 par value				08/01/2014						100(1)		D	\$18.1		4,232	D		
Common Stock, \$0.001 par value				08/01/2014						26(1)		D S	\$18.04		4,206	D		
Common Stock, \$0.001 par value				08/01/2014				S		100(1)		D S	\$18.04		4,106	D		
Common Stock, \$0.001 par value				08/01/2014				S		400(1)	400 ⁽¹⁾ D		\$18		3,706	D		
Common Stock, \$0.001 par value 08/				1/2014				S		100(1)	D D		\$18		3,606	D		
Common Stock, \$0.001 par value 08/01/2								S		100(1)		D S	\$18.15		3,506	D		
Common Stock, \$0.001 par value 08/01/2					2014					100(1)		D S	\$18.04		3,406	D		
		Ta	able II - Derivat (e.g., p					•		sed of, onvertib			-	wned				
perivative Conversion Date Execution or Exercise (Month/Day/Year) if any			3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		Expiratio	6. Date Exercis Expiration Date Month/Day/Ye		Amou Secur Unde Deriv Secur	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	Amou or Numb of Share	er					

Explanation of Responses:

 $^{1. \} Sales \ were \ effected \ pursuant \ to \ Rule \ 10b5-1 \ trading \ plan \ dated \ May \ 13, \ 2014.$

** Senior Vice President of Optical Component Business Unit

/s/ David C. Kuo for Hung-Lun (Fred) Chang

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.