

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

144: Filer Information

Filer CIK 0001587600
Filer CCC XXXXXXXXX
Is this a LIVE or TEST Filing? ☒ LIVE ☐ TEST

Submission Contact Information

Name
Phone
E-Mail Address

144: Issuer Information

Name of Issuer APPLIED OPTOELECTRONICS, INC.
SEC File Number 001-36083
13139 JESS PIRTLE BLVD
SUGAR LAND
FLORIDA
77478
Address of Issuer
Phone 281-295-1800
Name of Person for Whose Account the Securities are To Be Sold Yeh Shu-Hua (Joshua)

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Officer

144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Name the Securities Exchange
Common	Raymond James & Associates, Inc. 880 Carillon Parkway St Petersburg FL 33716	50000	4852374.05	75198817	03/09/2026	Nasdaq GM

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

144: Securities To Be Sold

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from	Is this	Date Donor Acquired	Amount of Securities Acquired	Date of Payment	Nature of Payment *
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		Whom Acquired	a Gift?		
Common	01/23/2019 RSU Award	Issuer	☐	969	01/23/2019 N/A
Common	02/01/2019 RSU Award	Issuer	☐	261	02/01/2019 N/A
Common	02/06/2019 RSU Award	Issuer	☐	1049	02/06/2019 N/A
Common	04/23/2019 RSU Award	Issuer	☐	2516	04/23/2019 N/A
Common	05/07/2019 RSU Award	Issuer	☐	1048	03/09/2026 N/A
Common	07/23/2019 RSU Award	Issuer	☐	2516	07/23/2019 N/A
Common	08/06/2019 RSU Award	Issuer	☐	1048	08/06/2019 N/A
Common	10/22/2019 RSU Award	Issuer	☐	2517	10/22/2019 N/A
Common	11/05/2019 RSU Award	Issuer	☐	1048	11/05/2019 N/A
Common	01/22/2020 RSU Award	Issuer	☐	2517	01/22/2020 N/A
Common	02/05/2020 RSU Award	Issuer	☐	1048	02/05/2020 N/A
Common	04/22/2020 RSU Award	Issuer	☐	4701	04/22/2020 N/A
Common	07/22/2020 RSU Award	Issuer	☐	4704	07/22/2020 N/A
Common	10/22/2020 RSU Award	Issuer	☐	4702	10/22/2020 N/A
Common	01/22/2021 RSU Award	Issuer	☐	4702	01/22/2021 N/A
Common	04/22/2021 RSU Award	Issuer	☐	3655	04/22/2021 N/A
Common	07/22/2021 RSU Award	Issuer	☐	3656	07/22/2021 N/A
Common	10/22/2021 RSU Award	Issuer	☐	3656	10/22/2021 N/A
Common	01/24/2022 RSU Award	Issuer	☐	3650	01/24/2022 N/A
Common	04/22/2022 RSU Award	Issuer	☐	37	04/22/2022 N/A

* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

144: Securities Sold During The Past 3 Months

Nothing to Report ☒

144: Remarks and Signature

Remarks

Date of Notice

03/09/2026

Date of Plan Adoption or Giving of Instruction, If Relying on Rule 10b5-1

12/09/2025

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature

Tracey Cornelison, as duly authorized representative of Raymond James & Associates, Inc., as attorney-in-fact for Yeh Shu-Hua (Joshua)

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)