UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 144 Filer Information

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

### 144: Filer Information

Filer CIK 0001814528
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? • LIVE • TEST

Submission Contact Information

Name

Phone

E-Mail Address

#### 144: Issuer Information

Name of Issuer APPLIED OPTOELECTRONICS, INC.

SEC File Number 001-36083

13139 JESS PIRTLE BLVD

Address of Issuer SUGAR LAND

TEXAS 77478

Phone 281-295-1800

Name of Person for Whose Account the Securities are To Be Sold

Loboa Elizabeth G

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Director

## 144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value		Approximate Date of Sale	Securities
Common	RAYMOND JAMES & ASSOCIATES, INC 880 CARILLON PARKWAY ST. PETERSBURG FL 33716	9790	405918.85	45078203	12/02/2024	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

### 144: Securities To Be Sold

Title of the Date you Nature of Name of Is Date Amount of Date of Nature of

Class	Acquired	Acquisition Transaction	Person from Whom Acquired		Securities Acquired	Payment	Payment *
COMMON	12/07/2020 F	RSU VESTING	ISSUER		3263	12/07/2020 N	J/A
COMMON	03/05/2021 F	RSU VESTING	ISSUER		3263	03/05/2021 N	J/A
COMMON	06/07/2021 F	RSU VESTING	ISSUER		3264	06/07/2021 N	J/A

<sup>\*</sup> If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	<b>Gross Proceeds</b>
Elizabeth Loboa 13139 Jess Pirtle Blvd Sugarland TX 77478	common	11/21/2024	13494	493117.00

## 144: Remarks and Signature

Remarks

Date of Notice 12/02/2024

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature Melissa Fisher, as duly authorized representative of Raymond James & Associates, Inc. as attorney-in-fact for Elizabeth Grace Loboa Polefka

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)