FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Lin Che-Wei						2. Issuer Name and Ticker or Trading Symbol APPLIED OPTOELECTRONICS, INC. [ AAOI ]									k all app	,	ng Per	son(s) to Is	
(Last) (First) (Middle)						3. Date of Earliest Transaction (Month/Day/Year) 03/04/2022								<u> </u> 	Office below	er (give title		Other ( below)	specify
(Street) SUGAR LAND TX 77478 (City) (State) (Zip)						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Ind Line)	′				
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day					Execution Date,		Date,	3. Transaction Code (Instr. 8)  4. Securities Disposed O 5)			es Acqı Of (D) (I	iired (/ nstr. 3	A) or , 4 and	5. Amo Securit Benefic Owned Report	ies cially Following	Form (D) o	vnership n: Direct r Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v			Amount	(A) (D)	or F	rice	Transa	ction(s) 3 and 4)			(mour 4)				
Common Stock, \$.001 par value 03/04/2						2022			F		1,214(1)	1		\$3.55	10	0,505		D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution Date, y or Exercise (Month/Day/Year) if any			4. Transaction Code (Instr. 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		str.	Price of erivative ecurity estr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	y	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code V		(A)	(D)	Date Exercisable		Expiration Date	Title	Num of Shar	.					

## **Explanation of Responses:**

1. Reflects shares surrendered to the Issuer to satisfy tax-withholding obligations upon the vesting of restricted stock unit award granted on June 11, 2021.

/s/ David C. Kuo, attorney in fact for Che-Wei Lin

03/08/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.