Form 144 Filer Information UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

## **144: Filer Information**

Filer CIK0001587419Filer CCCXXXXXXXIs this a LIVE or TEST Filing?Is LIVE INFORMATIONSubmission Contact InformationImage: Contact Information

Name Phone E-Mail Address

## **144: Issuer Information**

Name of Issuer	APPLIED OPTOELECTRONICS, INC.		
SEC File Number	001-36083		
Address of Issuer	13139 JESS PIRTLE BLVD SUGAR LAND TEXAS 77478		
Phone	281-295-1800		
Name of Person for Whose Account the Securities are To Be Sold	Yeh William H		

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Director

Relationship to Issuer

**144: Securities Information** 

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value		Approximate Date of Sale	Name the Securities Exchange
Common	Raymond James & Associates 880 Carillon Parkway St. Petersburg FL 33716	10000	430807.00	45078203	12/03/2024	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

## **144: Securities To Be Sold**

Title of the Date of Date you Nature of Name of Is Date Amount of Nature of Class Acquired Acquisition Person from this Donor **Securities Payment** Payment \*

	Transaction	Whom Acquired	a Acquire Gift?	d Acquired	
Common	05/30/2017 RSU Vesting	Issuer		7011	05/30/2017 NA
Common	06/11/2018 RSU Vesting	Issuer		1236	06/11/2018 NA
Common	09/10/2018 RSU Vesting	Issuer		634	09/10/2018 NA
Common	12/10/2018 RSU Vesting	Issuer		633	12/10/2018 NA
Common	10/01/2013 Pre-IPO Shares	Issuer		486	10/01/2013 Cash

\* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

## 144: Securities Sold During The Past 3 Months

Nothing to Report  $\blacksquare$ 

# 144: Remarks and Signature

Remarks Date of Notice *ATTENTION:* 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature Maressia Rooks-Bailey, as duly authorized representative of Raymond James & Associates, Inc., as attorney-in-fact for William H Yeh

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)