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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See |
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| Instruction 1(b). |
| |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APP | ROVAL |
|---------------------|-----------|
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| <u>Murry Stefan</u> | | | 2. Issuer Name and Ticker or Trading Symbol <u>APPLIED OPTOELECTRONICS, INC.</u> [AAOI] | | tionship of Reporting Pe all applicable) Director Officer (give title below) | rson(s) to Issuer 10% Owner Other (specify below) |
|---|--------------------------------------|------------------------|---|------------------------|--|--|
| (Last) C/O APPLIED C 13139 JESS PIR | (First) OPTOELECTRON TLE BLVD. | (Middle) NICS, INC. | 3. Date of Earliest Transaction (Month/Day/Year) 04/22/2019 | | Chief Financia | l Officer |
| (Street) SUGAR LAND (City) | TX (State) | 77478 (Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) X | idual or Joint/Group Filir Form filed by One Rep Form filed by More tha Person | porting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| ······································ | | | | | | | | | | |
|--|--|---|------------------------------|---|----------------------------------|---------------|---|---|---|-----------|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | Disposed Of (D) (Instr. 3, 4 and | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150. 4) |
| Common Stock, \$.001 par value | 04/22/2019 | | F | | 591 ⁽¹⁾ | D | \$12.59 | 75,059 | D | |
| Common Stock, \$.001 par value | 04/22/2019 | | F | | 519 ⁽²⁾ | D | \$12.59 | 74,540 | D | |
| Common Stock, \$.001 par value | 04/22/2019 | | F | | 363 ⁽³⁾ | D | \$12.59 | 74,177 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (e.g., | | | | | | (e.g., puis, cans, warrants, options, convertible securities) | | | | | | | | | | |
|--------|--|--|---|--|------|---|--|---|---|---|--|--|--|--|--|--|
| | 1. Title of Derivative Security 2. 3. Transaction Date 3A. Deemed Execution Date, if any (Month/Day/Year) 1. Title of Derivative Security 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year) | | 4. 5. Number Transaction Code (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | ative rities ired osed . 3, 4 | 6. Date Exerc Expiration Da (Month/Day/Y | 7. Title Amoun Securi Underl Deriva Securi and 4) | nt of ties ying tive ty (Instr. 3 | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Reflects shares surrendered to the Issuer to satisfy tax-withholding obligations upon the vesting of restricted stock unit award granted on February 21, 2017.

2. Reflects shares surrendered to the Issuer to satisfy tax-withholding obligations upon the vesting of restricted stock unit award granted on February 6, 2018.

3. Reflects shares surrendered to the Issuer to satisfy tax-withholding obligations upon the vesting of restricted stock unit award granted on February 12, 2019.

/s/ David C. Kuo, attorney in fact for Stefan J. Murry

04/23/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.